



PATENT
Docket No.: 19603/2921 (CRF-D-2484A)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Min Lu et al.

Serial No. : 09/877,606

Cnfrm. No. : 1604

Filed : June 8, 2001

For : AN ANTIGEN FOR DEVELOPING NEUTRALIZING ANTIBODIES TO
HUMAN IMMUNODEFICIENCY VIRUS

TRANSMITTAL LETTER

Commissioner For Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(b), which was mailed by the United States Patent and Trademark Office on August 7, 2001, enclosed are:

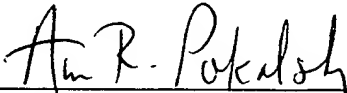
- [X] A Combined Declaration and Power of Attorney.
- [X] A Request for Two-Month Extension of Time.
- [X] Applicant claims small entity status. (See 37 CFR 1.27.)
- [X] A Request for Corrected Filing Receipt and Copy of Official Filing Receipt.
- [X] A copy of the Notice to File Missing Parts.
- [X] A self-addressed, prepaid postcard for acknowledging receipt.
- [X] Fees as calculated below:

FEE FOR TWO-MONTH EXTENSION OF TIME	\$200.00
SURCHARGE 37 CFR 1.16(e)	\$ 65.00
ADDITIONAL FEES	\$
TOTAL FEES SUBMITTED HERewith	\$265.00

- [X] A check in the amount of **\$265.00** to cover the above fees.

- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

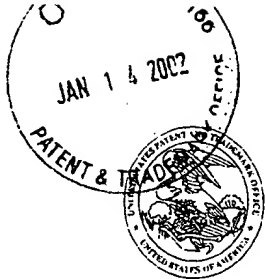
Date: November 28, 2001


Ann R. Pokalsky
Registration No. 34,697

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (716) 263-1304
Facsimile: (716) 263-1600

ARP/mm

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below. <i>Box: Missing Parts</i>	
Date <i>11/28/01</i>	Maria Matos <i>Maria Matos</i>



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,606	06/08/2001	Min Luu	9603/2921 (CRF-D-2484A)

CONFIRMATION NO. 1604

FORMALITIES LETTER



OC000000006392216

Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, NY 14603

Date Mailed: 08/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

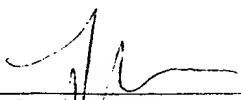
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

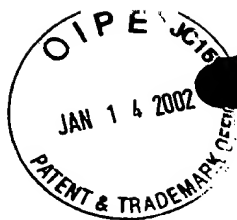
PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/18/2002 HGBREM1 00000098 09877606

01 FC:216

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01/18/2002 HGBREM1 00000098 09877606
65.00 OP
02 FC:205



#6
PATENT
19603/2921 (CRF D-2484A)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Min Lu et al.)	Examiner:
)	Unknown
Serial No	:	09/877,606)	
)	
Cnfrm No	:	1604)	Art Unit:
)	Unknown
Filed	:	June 8, 2001)	
)	
For	:	AN ANTIGEN FOR DEVELOPING)	
		NEUTRALIZING ANTIBODIES TO)	
		HUMAN IMMUNODEFICIENCY VIRUS)	

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231
Box: Missing Parts

Dear Sir:

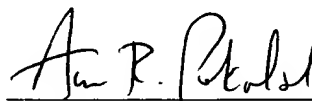
Applicants hereby request a corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, the Atty. Docket No. is incorrect. It should read "19603/2921 (CRF-D-2484A)".

In addition, Applicant, Min Lu's name is listed incorrectly. It should read "Min Lu". A copy of the signed combined declaration and power of attorney as filed is enclosed herewith.

Since Applicants are not responsible for this error, no fee is enclosed.

Respectfully submitted,

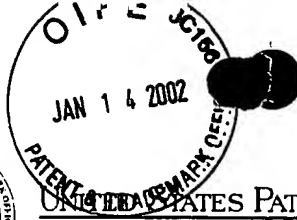
Date: November 28, 2001


Ann R. Pokalsky
Registration No. 34,697

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Telephone: (716) 263-1304
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Certificate of Mailing - 37 CFR 1.8(a)	
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Date <i>11/28/01</i>	Maria Matos <i>Maria Matos</i>



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/877,606

06/08/2001

1633

782

9603/2021
(CRF-D-
2484A)

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19603

CONFIRMATION NO. 1604

FILING RECEIPT



OC000000006392215

Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, NY 14603

Date Mailed: 08/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Lu
Min Lu, New York, NY;
Hong Ji, New York, NY;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/210,322 06/08/2000

Foreign Applications

If Required, Foreign Filing License Granted 08/06/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Antigen for developing neutralizing antibodies to human immunodeficiency virus

Preliminary Class

424

Data entry by : PHAM, TRUONG

Team : OIPE

Date: 08/07/2001

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231